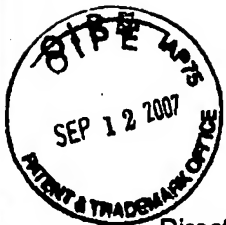


Dep 13 Ref

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2007 SEP 13 PM 4:25



In re application of: WANG *et al.*
Serial Number: 10/825,685
Filed: April 16, 2004
Group Art Unit: 1774
Title: Organic Luminescent Compounds and Methods of Making Same
Examiner: YAMNITZKY, Marie Rose
Agent Ref. No.: 2002-033-03US

Director of the U.S. Patent and Trademark Office
Alexandria, VA 22313-1450

Dear Sir,

REQUEST FOR REFUND

An erroneous duplicate charge in relation to the above-noted application appeared on the undersigned agent's Monthly Statement of Deposit Account No. 17-0110 dated May 31, 2007 (copy enclosed). Specifically, each of items 11 and 15 is a charge of \$510.00 under Fee Code 2253, applied to the filing of the *same* Response to Office Action.

Due to a FedEx service failure (see enclosed copy of letter from FedEx), it was necessary for two copies of the Response to be filed in the Office. That is, an original Response was sent by FedEx overnight courier on the day before the six-month non-extendible deadline. However, a vehicular accident prevented the courier service from delivering the document to the Office on the deadline date. When we discovered, on the deadline date, that the courier package would not arrive at the Office that day, we sent an electronic copy of the Response to an associate in Alexandria, who hand delivered it to the Office that day. Subsequently, the original was delivered by the FedEx the day after the deadline. The extension fees were deducted from our deposit account both times, whereas they should have been deducted just once.

Accordingly, a refund of \$510.00, credited to Deposit Account No. 17-0110, is respectfully requested.

Should any Patent Office Official wish to telephone about this Request, the call should be made to Carol Miernicki Steeg (Reg. No. 39,539) or Stephen Scribner (Reg. No. 44,452) at (613) 533-2342.

Respectfully submitted,

Date: 11 Sept. 2007

Carol Miernicki Steeg
Reg. No. 39,539

PARTEQ Innovations
Queen's University
Kingston, Ontario K7L 3N6
Canada
(613) 533-2342



July 12, 2007

Mrs. Deb Chinnery
Parteq Innovations
116 Barrie St Rm 1625
Queen's University
Kingston ON
K7L3J9

Dear Mrs. Deb Chinnery:

In regards to the shipment of May 14, 2007 sent to United States PA in Alexandria USA on air waybill 792342772194.

Please accept our apologies for any inconvenience you experienced as a result of the handling of this shipment. FedEx is dedicated to reliable and efficient service. We regret that our efforts, in this instance, did not accurately reflect our goal. We appreciate you having brought this service issue to our attention, thus providing us with an opportunity to identify and isolate the causes of your dissatisfaction. The delay this shipment encountered was due to an accident at the origin, delaying the departure of our aircraft.

Thank you for your feedback concerning this service issue.

We appreciate your business and, look forward to serving your future shipping needs. If you have any questions regarding this shipment, please do not hesitate to contact Customer Service at 1-800 Go-FedEx (1-800-463-3339).

Sincerely,

Veronique Sarrasin
Customer Service Representative
Federal Express Canada Ltd.

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Canada H4T 1E3

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To replenish your deposit account, detach and return top portion with your check. Make checks payable to "Director of the USPTO."

Account No.	170110
Date	5-31-07
Page	1

PARTEQ INNOVATIONS
CAROL MIERNICKI STEEG
QUEEN'S UNIVERSITY
BIOSCIENCES COMPLEX, ROOM 1625
KINGSTON, CANADA K7L 3N6
CANADA

FINA

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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
5	2	07	58	11599623	10971150-3	8021	40.00	9415.00
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5	16	07	80	10157016	2001-008-02US	2501	700.00	15290.00
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5	16	07	238	10825685	2002-033-03US	2253	→ 510.00	← 14465.00
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5	31	07	3	10942787	2002-013-02US	2501	700.00	13725.00
5	31	07	4	10942787	2002-013-02US	1504	300.00	13425.00
5	31	07	5	10942787	2002-013-02US	8001	15.00	13410.00

AN AMOUNT SUFFICIENT TO
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OPENING BALANCE

9455.00

TOTAL CHARGES

6030.00

TOTAL CREDITS

9985.00

CLOSING BALANCE

13410.00



ZFW

PTO/SB/22 (12-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) FY 2006 <small>(Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4618).)</small>		Docket Number (Optional). 2002-033-03US	
Application Number 10/825,685		Filed April 16, 2004	
For Organic Luminescent Compounds and Methods of Making and Using Same			
Art Unit 1774		Examiner YAMNITZKY, Marie Rose	
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.			
The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):			
	Fee	Small Entity Fee	
<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$120	\$60	\$ _____
<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$450	\$225	\$ _____
<input checked="" type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$1020	\$510	\$ <u>510.00</u>
<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$1590	\$795	\$ _____
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$2160	\$1080	\$ _____
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.			
<input type="checkbox"/> A check in the amount of the fee is enclosed.			
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.			
<input type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account.			
<input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number <u>17-0110</u> I have enclosed a duplicate copy of this sheet.			
<small>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</small>			
I am the <input type="checkbox"/> applicant/inventor.			
<input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).			
<input checked="" type="checkbox"/> attorney or agent of record. Registration Number <u>39,539</u>			
<input type="checkbox"/> attorney or agent under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34 _____			
<u>Carol Miernicki Steeg</u> Signature		<u>May 14, 2007</u> Date	
Carol Miernicki Steeg		613-533-2342	
Typed or printed name		Telephone Number	
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.			
<input checked="" type="checkbox"/> Total of <u>1</u> forms are submitted.			

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

01 FC:2253

510.00 DR

Adjustment Date: 10/01/2007 SDIRETA1
05/16/2007 MBERHE 00000063 170110 10825685
01 FC:2253 510.00 CR